

# The New European PPP Regulation : General Improvements and Perspectives for BCAs

*presented by Ulf HEILIG - IBMA*

# *The New E.U. PPP Regulation* *Status and Implementation*

**Regulation of the European Parliament and of the Council  
concerning the placing of PPP on the market  
and repealing Council Directives 79/117/EEC and 91/414/EEC**

Institutional ref : 2006/0136(COD)

E.P. vote in 2<sup>nd</sup> reading : 13<sup>th</sup> Jan. 2009

Council of E.U. vote : 24<sup>th</sup> Sept. 2009

Publication : Imminent

Coming into force : Day 20 following publication

Implementation : 18 month after into force

## *The New E.U. PPP Regulation* *Subject of Presentation*

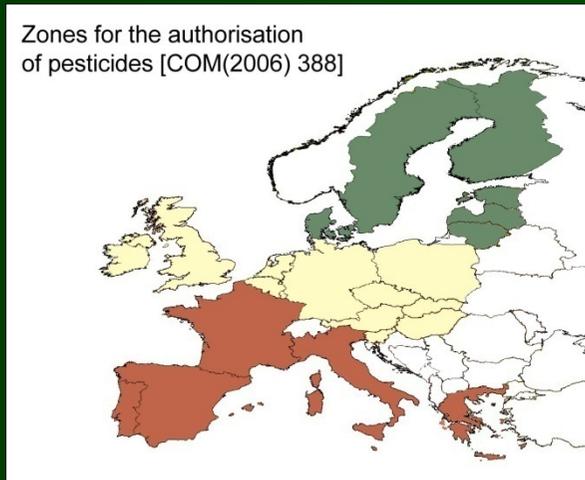
- ♥ *Creation of zones improved mutual recognition by MS*
- ♥ *Improved time lines for evaluation and inclusion*
- ♥ *Low-risk Active Substances*
- ♥ *Basic Substances*
- ♥ *Guidance documents*

**Perspectives for BCAs**

# *The New E.U. PPP Regulation*

## **Creation of zones**

### **Definition of three zones**



... and a **single zone for greenhouses**, seed & post treatments, empty premises &

**North:** Denmark, Estonia, Finland, Latvia, Lithuania, Sweden

**Centre:** Austria, Belgium, Czech Republic, Germany, Hungary, Ireland, Luxembourg, Netherlands, Poland, Romania, Slovakia, Slovenia , UK

**South:** Bulgaria, Cyprus, France, Greece, Italy, Malta, Portugal, Spain

# *The New E.U. PPP Regulation*

## *Mutual Recognition*

*[art 40]*

### **Conditions**

Reg holder may apply if

- ✧ same PPP and
- ✧ same use and
- ✧ comparable agricultural practice

*3rd parties can apply  
if use in general interest*

Initial authorisation by

- ✧ MS in same zone
- ✧ No MS in same zone but in other zone for same purpose
- ✧ Any MS for greenhouses, post-harvest, seed, empty rooms & container

# *The New E.U. PPP Regulation*

## *Mutual Recognition*

*[art 35]*

### **RMS and other MSs**

RMS: In general application examined by MS proposed by applicant (unless another MS in same zone volunteers)

If application in other MSs in same zone

- ⇒ co-operation and work sharing
- ⇒ other MSs stop processing

If application in several zones

- ⇒ RMSs shall agree on evaluation of data not related to envi and agri conditions

# *The New E.U. PPP Regulation*

## *Mutual Recognition*

*[art 36 & 41]*

### **Recognition of authorisation decision**

Other MSs shall examine application with regard to circumstances in its territory

If appropriate ⇒ authorisation under same conditions as by RMS

IF MS has **concerns for human or animal health or envi**

⇒ **Can be controlled** by risk mitigation measures ?

**YES** → authorisation with restrictions

**NO** → refusal

# *The New E.U. PPP Regulation*

## *Mutual Recognition*

*[art 36]*

### **Refusal of authorisation decision**

MS must have **substantiated reasons** that use of product still causes unacceptable risk due to **specific** envi and agri circumstances

MS must provide technical or scientific **justification**

MS must provide for **instances of appeal** (ex. courts) to challenge decision

***The New E.U. PPP Regulation***  
***Mutual Recognition***  
***[art 44 (4)]***

**Amendment or withdrawal**

If any MS in same zone amends or withdraws an  
authorisation

⇒ other MSs in same zone amend and withdraw taking into  
account national conditions and risk mitigation measures

# *The New E.U. PPP Regulation*

## *Mutual Recognition*

### **Not all aspects detailed here**

Interaction between MSs during examination [art 36]

Procedure [art 42]

decision within 120 days (unless additional data requirements)

Derogation from authorisation under same condition [art 41]

Impact of National Action Plans [Framework Directive]

### **How will Mutual Recognition operate in practice ? ? ?**

## *The New E.U. PPP Regulation* *Mutual Recognition*

### **3<sup>rd</sup> GTZ Workshop in Vilnius**

In framework of EU programme “Better Training for better food”,  
contractor : German GTZ

Organiser session on New PPP Regul. including Mutual  
Recognition: CRD Pestic. (UK)

2 out of 4 days in Nov. 2009 (2<sup>nd</sup> half of week 46)

Closed meeting for regulators of all 27 EU MS

Preparation of a guidance doc on zonal authorisation, renewal,  
withdrawal, amendment

## *The New E.U. PPP Regulation* *Mutual Recognition*

### **Commission Workshop in Braunschweig**

Organised by COM Steering Group (lead: DG SANCO)  
in coll. with German BMEVL & BVL

Planned for 26<sup>th</sup> to 28<sup>th</sup> January 2010

At least partially closed meeting for regulators (2 reps / MS)

Attending of stakeholder / industry still under discussion in  
Steering Group

## *The New E.U. PPP Regulation* **Mutual Recognition**

### **French Colloquium for South European Zone**

Organised by MAAP & Afssa-DiVE

Planned for May or June 2010

C.As, evaluators and open to all stakeholders of South  
European zone

(Bulgaria, Cyprus, France, Greece, Italy, Malta, Portugal, Spain)

Subject : practical aspects (organisation, procedures ...)

## ***The New E.U. PPP Regulation*** ***Improved time lines*** ***for act. subst. inclusion***

- Admissibility** of the application [art 9] **45 days**
- DAR** by RMS [art 11] **12 months**
- Conclusion by the Authority** [art 12]
- EFSA circulate the DAR within **30 days**
  - Applicant designate confidential sections within 2 weeks
  - MSs & applicant comment in writing within **60 days**
  - EFSA consult experts where appropriate
- adopt conclusion after the end of the commenting period **120 days**
- Approval regulation** [art 13]
- COM shall present Review Report and draft Regulation within **6 months**
- Regulation shall be adopted by COM and SCoFCAH within **3 months**



# The New E.U. PPP Regulation

## Improved time lines for act. subst. inclusion

<b>Admissibility</b>	of the application [art9]	<b>45 days</b>
<b>DAR</b>	by RMS [art11]	<b>12 months</b>
<b>Conclusion by the Authority</b>	[art12]	
•	EFSA circulate the DAR within	<b>30 days</b>
•	applicant designate experts within 2 weeks	
•	MSc 90 days writing within	<b>60 days</b>
•	submit reports where appropriate	
→	act conclusion after the end of the commenting period	<b>120 days</b>
<b>Approval regulation</b>	[art13]	
	COM shall present Review Report and draft Regulation within	<b>6 months</b>
	Regulation shall be adopted by COM and SCoFCAH within	<b>3 months</b>

**~30 months (2,5 years), plus ≤ 15 add. months  
if all additional time periods and clock stops used**

# *The New E.U. PPP Regulation*

## *Low-risk Active Substances*

*[Annex II section 5 ]*

### **Exclusion criteria**

a) **classification** (according to regul. **1272/2008/EC**)

- ✧ carcinogenic, mutagenic, toxic to reproduction = **CMR**
- ✧ very toxic or toxic = **T+ et T**
- ✧ sensitising **chemicals**
- ✧ explosive
- ✧ corrosive

b) or if **following criteria** met:

- ✧ **persistent** (  $\frac{1}{2}$  life in soil is > 60 days)
- ✧ bioconcentration factor > 100
- ✧ deemed to be endocrine disrupter
- ✧ neurotoxic or immunotoxic

*Inadequate for MBCAs*

# *The New E.U. PPP Regulation*

## *Low-risk Active Substances*

*[art 22 & 47]*

### **Advantages**

1<sup>st</sup> approval of **substance**:  $\leq 15$  years  
(instead of 10 years standard)

**Fast decision** on authorisation of PPP by MS:  $\leq 120$  days  
(plus  $\leq 6$  months if data missing)

Submission of a **reduced dossier** for PPP containing them  
(including demonstration of sufficient efficacy,  
no substance of concern in PPP)

**In adverts**: *“Authorised as low-risk PPP in accordance with [Regul. ref.] “*

**→ but no low-risk claim on PPP label !**

# *The New E.U. PPP Regulation*

## *Low-risk Active Substances*

*[art 22]*

### **Implementation**

According to article 22, **low-risk active substances shall be listed separately** in the Regulation [art. 78 (3)] which contains the list of active substance included in annex I to 91/414/EEC.

Implementation measures must be taken **within 18 months** after coming into force of New PPP Regul..

COM is currently setting priorities for those measures.

Low risk criteria exist in New PPP Regul. but categorisation might depend on outcome of peer review.

## *The New E.U. PPP Regulation*

### **Basic substances**

**[art 23 ]**

#### **Criteria**

**Not** substance of concern  
endocrine disrupter, neurotox, immunotox

**Not** predominantly used for PPP purposes but  
useful in PP, either undiluted or with simple diluent

**Not** placed on the market as PPP

Evaluation under other EU legislation ⇒ **no** undesirable effect

Applies to: “ foodstuff ” as defined in Article 2 of Regulation  
(EC) No 178/2002

**→ potential approval of BCAs ?**

## *The New E.U. PPP Regulation*

### **Basic substances**

*[art 23 ]*

#### **Questions asked in ENDURE - COM meeting and**

⇒ **informal** answers and interpretation by reps of DG SANCO & EFSA

→ Details on procedure ?

⇒ Need to be defined !

→ “COM shall ask authority” ?

⇒ EFSA must be involved !

→ Dossier from which domains ?

⇒ Foodstuff OK, others to clarify !

→ Data protection possible ?

⇒ No, once listed: basic  
substance available to all!

## ***The New E.U. PPP Regulation***

### ***Basic substances***

***[art 23 ]***

⇒ informal answers and interpretation (2)

→ **Labelling and advertising ?**

Intent of legislator : Create a legal base for PP advisors,  
especially in organic farming

⇒ No specific marketing as PPP

⇒ No plant protection claims on label

⇒ No advertising for plant protection properties

→ **Status if annex I inclusion ?**

⇒ Once included, a substance cannot be approved at the same  
time as basic substance !

## *The New E.U. PPP Regulation*

### **Basic substances**

**[art 23 ]**

⇒ informal answers and interpretation (3)

→ **Formulation for PP uses ?**

Substance used either directly or with simple diluent

⇒ No addition of co-formulants for use in PP

⇒ No mixtures of basic substances

→ **Candidates ?**

⇒ Substances used as **food ingredients** are in good position if food definition compliance !

⇒ Substances used in bakery, brewery, dairy are likely candidates

*The New E.U. PPP Regulation*  
**Basic substances**  
*[art 23 ]*

**Opportunity for BCAs ?**

→ **Very limited potential and commercial interest**

**Disappointing !**

# *The New E.U. PPP Regulation* **Opportunity**

## **Provisions for guidance documents**

### Article 77

*COM “may [...] adopt or amend technical and other guidance documents e.g. explanatory notes or guidance documents on the content of the application concerning micro-organisms, pheromones and biological products, for the implementation of this regulation”*

*COM may ask EFSA to prepare or to contribute to such guidance documents*

# *The New E.U. PPP Regulation*

## *Opportunity*

### **Provisions for guidance documents**

*Different EFSA panels which can take forward guidance documents  
but EFSA planning is full for 2010 (and 2011 ?)*

*Industry should fix priorities and make substantiated proposals*

- to EFSA      ← via Member State C.As*
- ← via (groups of) companies involved*
- keep COM involved (key position in art. 77)*

*⇒ **Co-ordinated approach of IBMA members***

*in particular in Professional Groups !*

## ***The New E.U. PPP Regulation*** ***Pending issue***

### **Specific status of non chemical methods**

Recital 35 (to be related to Framework Directive) mentions :

*“priority to non-chemical & natural alternatives wherever possible”*

**Definition of non-chemical methods** [art. 3 (8)] mentions :

*“ [...] physical, mechanical or biological pest control”*

→ We must make sure that all BCAs, not only microbials but also **semiochemicals, botanicals and other natural substances** in particular with **non-toxic mode of action** are covered !

# *The New E.U. PPP Regulation*

## *Pending issue*

### **Generic waivers**

justifications of non submission of data or exemptions for groups of substances and products

- Not provided for in regulation

⇒ **perspective ?**

**Merci !**

**Ulf HEILIG**

<http://register.consilium.europa.eu/pdf/en/09/st03/st03608.en09.pdf>