



# PROGRESS TOWARDS GLOBAL HARMONISATION OF REGULATION AND ASSESSMENT OF BIOPESTICIDES

20-22 October 2014, ABIM, Basel

*OECD BioPesticides Steering Group*

Jeroen Meeussen

Chair of the OECD Biopesticides Steering Group

# OECD: The Organisation for Economic Co-operation and Development





- The **BioPesticides Steering Group** (BPSG) was established by the WGP in 1999 to help member countries to **harmonise** the methods and approaches used to **assess biological pesticides**.



# Overview

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**Macro-  
organisms**



**Microbial Pesticides**

**Semiochemicals/  
Pheromones**

**Botanicals/  
Plant extracts**



# Macro-organisms

- Update of 2007 survey is considered necessary.
- New survey about **the regulation of macro-organisms for pest and disease control in OECD countries** has been circulated.
- **Deadline: 1 December 2014.**





# Overview

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**Macro-  
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**Microbial Pesticides**

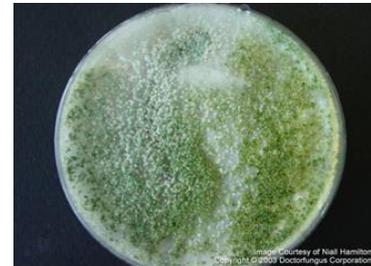
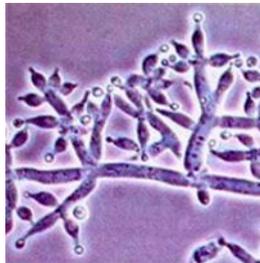
**Semiochemicals/  
Pheromones**

**Botanicals/  
Plant extracts**



# *Trichoderma spp.*

- The report of Seminar on "*Trichoderma spp. for the use in Plant Protection Products: similarities and differences*", has been published (OECD Series on Pesticides No. 74, 2013).
- One of the **recommendations** of the seminar was to develop an **OECD Guidance** on *Trichoderma spp.* which should:
  - address questions raised by regulatory authorities;
  - take into account the EFSA-conclusions on *Trichoderma* (data gaps, areas of concern) and
  - clarify a number of issues for the applicants.





# Guidance Document on micro-organisms

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Such guidance could cover a number of areas including:

- **definitions and categorization** (e.g. as biopesticide, biostimulant, fertilizer, plant growth regulator ... - and the regulatory impacts);
- information needed for **regulatory purposes**;
- **taxonomy**;
- different **modes of action** of the species;
- **methods to detect** the various strains, including microbiological/chemical and molecular methods;
- **fate and behaviour**, including persistence in soil;
- effects on **soil microflora** (on bacterial and fungal communities);
- Etc.

➔ General guidance; use *Trichoderma* as an example



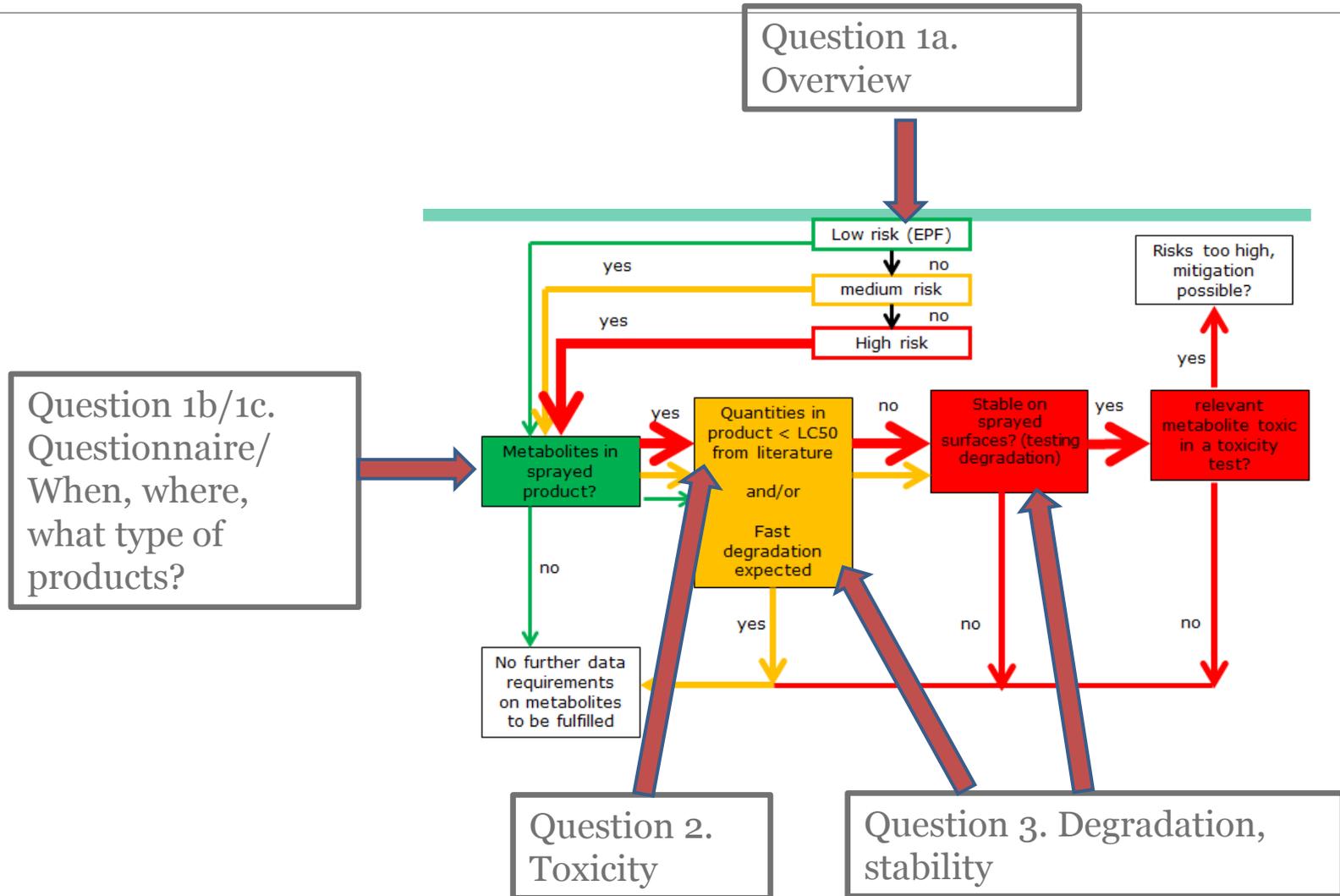
# Secondary metabolites

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- **Goal:** Assessment of secondary metabolite production, hazard and risk in the manufacture and use of biopesticides.
- **Output** should form the basis for a future OECD Guidance Document on this topic.
- Possible topic for **BPSG 2015 seminar**.
- Focus is on **entomopathogens** only.
- Project lead by **J. Scheepmaker** from RIVM (NL).



# All answers given in this project give input to the design of a risk assessment scheme for metabolites.





# Secondary metabolites – Question 1

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## Identification of relevant biocontrol agents with the potential to produce secondary metabolites.

- 1a: **Review the literature** on related species and strains known to be used as biocontrol agents. Which metabolites are specific to the species/genus/family?
- 1b: Identification of **technical grade active ingredient (TGAI)** known or suspected to contain secondary metabolites.
- 1c: The **microorganisms' biology** and production of metabolites; where, when, what precursors?



## Secondary metabolites – Question 2

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**Determination of the toxicity of TGAI's identified as containing or producing secondary metabolites.**

Key questions:

- Will there be **exposure** to metabolites?
- Are these metabolites **present** in the TGAI?
- If they are, what will be the **actual concentrations in the field application?**



## Secondary metabolites – Questions 3 and 4

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### Question 3

Review **degradation of metabolites** in the environment, considering the stability of the metabolite.

### Question 4

Investigate what **level of evidence** is required to show that no secondary metabolites of concern are formed (this may include consideration of where the metabolite is formed, its concentration, the metabolite's intrinsic toxicity);

In the case that metabolites are formed, investigate ways of selecting which metabolites should be **considered for risk assessment**.



# **DRAFT** - GD on equivalence

GUIDANCE DOCUMENT FOR THE ASSESSMENT OF THE EQUIVALENCE OF TECHNICAL GRADE ACTIVE INGREDIENTS FOR IDENTICAL MICROBIAL STRAINS OR ISOLATES APPROVED UNDER REGULATION (EC) No 1107/2009 (SANCO/12823/2012)

- In the EU micro-organisms are approved at **strain level**.
- Guidance Document is applicable for changes to the **same strain only!**





# DRAFT - GD on equivalence

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Technical equivalence with the approved (reference) source needs to be demonstrated in the following cases:

- Change of **manufacturing plant** (equipment and/or location),
- **Scale up** of fermentation vessel,
- Change of **method of manufacture**, including change of ingredients.



# DRAFT - GD on equivalence

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The aim is to ensure that the new source complies with the approved source of the technical grade active ingredient for the following parameters:

- **Identity** of the micro-organism;
- Content of the **active micro-organism**;
- Content of **relevant metabolites/toxins**;
- Composition of **starting material** for manufacturing (e.g. culture media);
- Content of **microbial contaminants**.

Criteria **not** fulfilled  Tier II assessment   
risk to human health and the environment



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# SCLPs

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- Austria was asked to provide **suggestions for an update** of the OECD-12.
- It should be **clearly** stated which requirements are absolutely necessary and cannot be waived (e.g. concerning identity).
- In all cases **references** are required and must be accessible for the evaluators.
- More guidance for **formulations other than solid matrix dispensers** (e.g. sprayable microcapsule suspensions).



# SCLPs

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- In the OECD No. 12 it is stated that “application rates of up to **375 g SCLP/ha/year** are generally understood to result in exposure levels which are comparable to natural emissions”
- However, the rationale for this assumption/value is based on a 'white paper'.
- This value should be reconsidered (in line with the **EFSA-conclusion** on Straight Chain Lepidopteran Pheromone; January 2014).
- Update *Guidance Document on the approval of new substances falling into the group of SCLPs* (SANCO/5272/2009).



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# Botanicals/Plant Extracts

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- OECD-seminar on "Characterisation and Analyses of Botanicals for the use in Plant protection Products" 30 March 2011; OECD Series on Pesticides No. 72, 2012.
- BPSG Workplan 2013 - 2016:  
Develop guidance on botanicals/plant extracts
- EU-expert group on "botanicals"





# EU-expert group on "botanicals"

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- **EU Draft Working Document** on Plant Extracts SANCO/10472/2003 - clearly needs to be updated.
- The intention is to prepare an **EU Guidance Document**, possibly to be 'upgraded' at a later stage to an OECD-document.

The following information has been taken into account:

- experience of other **non-EU OECD countries** (e.g. USA and Canada that have already some guidance in place),
  - information from the **EU Biocides** guidance document,
  - publications of **EFSA** (e.g. scientific opinions).
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- **Revised data requirements** (identification, metabolism): "*For plant extracts, a different approach may be taken and adequately justified*".



# Guidance Document on "botanicals" (1)

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Based on the **taxonomy** and/or **current knowledge** of the botanical source **three groups** can be distinguished.

## Group 1

- Botanical active substances that are **known to have no unacceptable effects** on humans, animals and the environment and are based on materials with **known specifications** e.g. food grade.

 **Not necessary to identify each component** but demonstrate that each sample is comparable to the specification.



# Guidance Document on "botanicals" (2)

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## Group 2

- Botanical active substances for which taxonomy and current knowledge indicates that the botanical active substance may contain **components of possible concern** for humans, animals and/or the environment.

➔ In this case **these components should be identified and quantified.**

## Group 3

- Botanical active substances that are **not based on a material with an established specification.**

➔ **Complete identification and characterisation is needed.**



# Guidance Document on "botanicals" (3)

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- Guidance Document on botanicals has been 'noted' on **20 March 2014** and is applicable to applications submitted from 1 October 2014 onwards (SANCO/11470/2012).
- Circulated to OECD-BPSG: **comments** received from CAN and CropLife.
- Current document should be amended to address comments and align with different definitions used by regulators in other countries/regions.
- Explore possibly to upgrade the EU Guidance Document to an **OECD-document**.



# Conclusions

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- The BPSG has achieved a lot of progress towards harmonisation and work sharing through the development of **guidance** and **working documents**, the 2008 and 2013 **Workshops** and subsequent **seminars**.
  - The BPSG and EU Working Group on Biopesticides will continue to facilitate in close cooperation with other stakeholders the **evaluation** and **assessment** of biopesticides and promote **harmonisation** and **work sharing**.





# Thank you for your attention



*Any questions?*